

O'BANION & RITCHEY LLP
INTELLECTUAL PROPERTY ATTORNEYS

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November 20, 2001

TELECOPIER TRANSMITTAL SHEET

TELECOPY TO: Art Group 1756
FAX NUMBER: 703-872-9310
FROM: John P. O'Banion
RE: 09/902,502 (Docket No. LBL-CIB-1572)

MESSAGE: These documents were mailed via first class mail on October 19, 2001. We believe this may be involved in the mail problem the USPTO is currently undergoing. The following also includes certificates of mailing.

- 1) Copy of return card;
- 2) Copy of check forwarded in the amount of 1,548.00;
- 3) Submission of missing parts of application (Page 1 thru 3);
- 4) Notice to file missing parts (Page 1) with certificate of mailing;
- 5) Combined declaration and power of attorney (Page 1 thru 5) with certificate of mailing;
- 6) Power of attorney by Assignee (Page 1) with certificate of mailing;
- 7) Assignee Certification (Page 1) with certificate of mailing;
- 8) Assignment Cover Letter and Assignment (Page 1 thru 5) with certificate of mailing and;
- 9) Copy of check forwarded for assignment recordation fee 40.00.

☒ Original will not follow

☐ Original will follow by:

The information contained in this facsimile is highly confidential and may also be subject to attorney-client privilege. The information is intended only for the use of the individual or entity to whom it is addressed. If you are not the intended recipient, or the employee or agent responsible to deliver it to the intended recipient, you are hereby notified that any use, distribution or copying of this communication is strictly prohibited. If you have received this in error, please notify us immediately by calling collect, and destroy this copy. Thank you.

NUMBER OF PAGES SENT (INCLUDING COVER SHEET): 25

IF THERE ARE ANY PROBLEMS OR QUESTIONS, PLEASE CONTACT US AT (916) 498-1010. OUR FAX NO. IS (916) 498-1074

PATENT

Docket No.: LBL-CIB-572

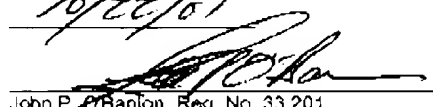
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: KENNETH A. GOLDBERG
Serial No.: 09/902,502
Filed: JULY 9, 2001
For: METHOD AND APPARATUS FOR INSPECTING AN EUV MASK BLANK
Group:
Examiner:

FAX RECEIVED
NOV 20 2001
GROUP 1700

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on:

10/22/01

John P. O'Banion, Reg. No. 33,201
10/22/01
Date of Signature

BOX MISSING PARTS
Assistant Commissioner for Patents
Washington, D.C. 20231

SUBMISSION OF MISSING PARTS OF APPLICATION
UNDER 37 C.F.R. § 1.53

Dear Sir:

In response to the Notice to File Missing Parts of Application (PTO-1533) mailed August 24, 2001, a copy of which is enclosed, please find the following documents which are incorporated by reference herein:

1. Combined Declaration and Power of Attorney;
2. Power of Attorney by Assignee and Exclusion of Inventor(s) Under 37 C.F.R. 3.71;
3. Assignee Certification Under 37 C.F.R. 3.73(b);
4. Check in the amount of \$1,548 (\$1,418 filing fees and \$130 surcharge for the fees due as a large entity);
5. Assignment Cover Letter.

- 6 Assignment;
- 7 Check in the amount of \$40 (assignment recording fee).

The amount due for the filing fees and surcharge has been computed as follows:

CLAIMS AS FILED							
	Number filed			Number Extra		Rate	Basic Fee
							\$ 740.00
Total							
Claims 37 CFR 1.16(c)	39	- 20	=	19	X	\$18.00	= 342.00
Independent							
Claims (37 CFR 1.16(b))	7	- 3	=	4	X	\$84.00	= 336.00
Multiple dependent claim(s), if any (37 CFR 1.16(d))						+	\$270.00 =

Filing Fee Calculation	\$ 1,418.00
Surcharge	\$ 130.00
TOTAL FILING FEE	\$ 1,548.00

Small Entity Statement(s)

☐ Applicant qualifies as a small entity under 37 CFR 1.9 and 1.27

Filing Fee Calculation (50% of above) \$ 1,548.00

Amount already paid LESS (\$)

TOTAL FILING FEES DUE \$ 1,548.00

☒ Assignment recording fee \$ 40.00

☐ Petition fee \$ 0

TOTAL FEES DUE \$ 1,588.00

Please charge any additional fees due to deposit account no. 07 1137.

Having now complied with all of the requirements of 37 C.F.R. 1.53, the Applicants respectfully request that this application be placed upon the files for examination.

Dated:

10/24/01

Respectfully submitted,



John P. O'Banion, Reg. No. 33,201
O'BANION & RITCHEY LLP
400 Capitol Mall, Suite 1550
Sacramento, CA 95814
(916) 498-1010



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/902,502	07/09/2001	Kenneth A. Goldberg	LBL-CIB-1572

CONFIRMATION NO. 5914

FORMALITIES LETTER



OC00000006469705

John P. O'Banion
O'BANION & RITCHEY LLP
Suite 1550
400 Capitol Mall
Sacramento, CA 95814

Date Mailed: 08/24/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

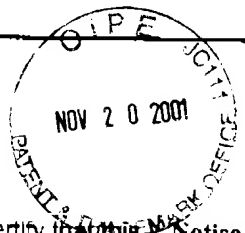
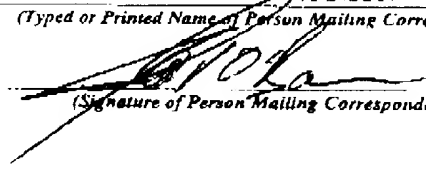
An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).


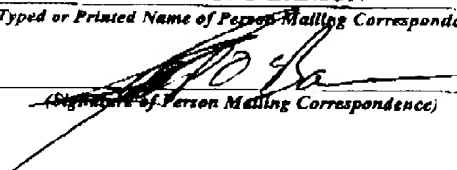
- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$662.
 - \$342 for 19 total claims over 20.
 - \$320 for 4 independent claims over 3.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1502.

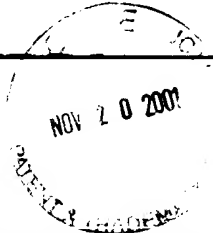
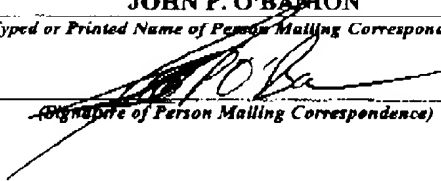
*A copy of this notice **MUST** be returned with the reply.*


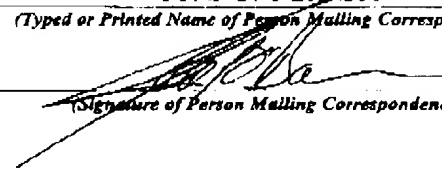
Customer Service Center
United States Patent and Trademark Office

PART 2 - COPY TO BE RETURNED WITH RESPONSE

CERTIFICATE OF MAILING BY FIRST CLASS MAIL (37 CFR 1.8)			Docket No.
Applicant(s): KENNETH A. GOLDBERG			LBL-CIB-1572
Serial No. 09/902,502	Filing Date JULY 9, 2001	Examiner	Group Art Unit
Invention: METHOD AND APPARATUS FOR INSPECTING AN EUV MASK BLANK			
<div data-bbox="292 504 535 735"></div> <p>I hereby certify that this <u>Notice to file missing parts of nonprovisional application (Page 1)</u> (Identify type of correspondence) is being deposited with the United States Postal Service as first class mail in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on <u>10/22/01</u> (Date)</p> <p>JOHN P. O'BANION (Typed or Printed Name of Person Mailing Correspondence)</p> <p> (Signature of Person Mailing Correspondence)</p> <p>Note: Each paper must have its own certificate of mailing.</p>			

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I hereby certify that this <u>Combined Declaration and Power of Attorney (Page 1 thru 5)</u> (Identify type of correspondence)			
is being deposited with the United States Postal Service as first class mail in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on <u>10/22/01</u> (Date)			
<u>JOHN P. O'BANION</u> (Typed or Printed Name of Person Mailing Correspondence)			
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Invention: METHOD AND APPARATUS FOR INSPECTING AN EUV MASK BLANK			
<div data-bbox="203 493 414 724"></div> <p>I hereby certify that this <u>Power of Attorney by Assignee (Page 1)</u> (Identify type of correspondence)</p> <p>is being deposited with the United States Postal Service as first class mail in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on <u>10/22/01</u> (Date)</p> <p>JOHN P. O'BANION (Typed or Printed Name of Person Mailing Correspondence)</p> <p> (Signature of Person Mailing Correspondence)</p>			
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Serial No. 09/902,502	Filing Date JULY 9, 2001	Examiner	Group Art Unit
Invention: METHOD AND APPARATUS FOR INSPECTING AN EUV MASK BLANK			
<div style="text-align: center;"></div> <p>I hereby certify that this <u>Assignment Cover Letter and Assignment (Page 1 thru 5)</u> (Identify type of correspondence)</p> <p>is being deposited with the United States Postal Service as first class mail in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on <u>9/20/01</u> (Date)</p> <p style="text-align: center;">JOHN P. O'BANION (Typed or Printed Name of Person Mailing Correspondence)</p> <p style="text-align: center;"> (Signature of Person Mailing Correspondence)</p>			
Note: Each paper must have its own certificate of mailing.			